

SECOND
LECTURE

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SPECIAL RELATIVITY
QUANTUM MECHANICS
POINT-LIKE PARTICLES

BASED IN →

SPECIAL RELATIVITY
QUANTUM MECHANICS
ONE-DIMENSIONAL OBJECTS

QFT

STRING THEORY



LARGE DEGREE OF ARBITRARINESS

HIGHLY CONSTRAINED

WE ARE FREE TO CHOOSE:

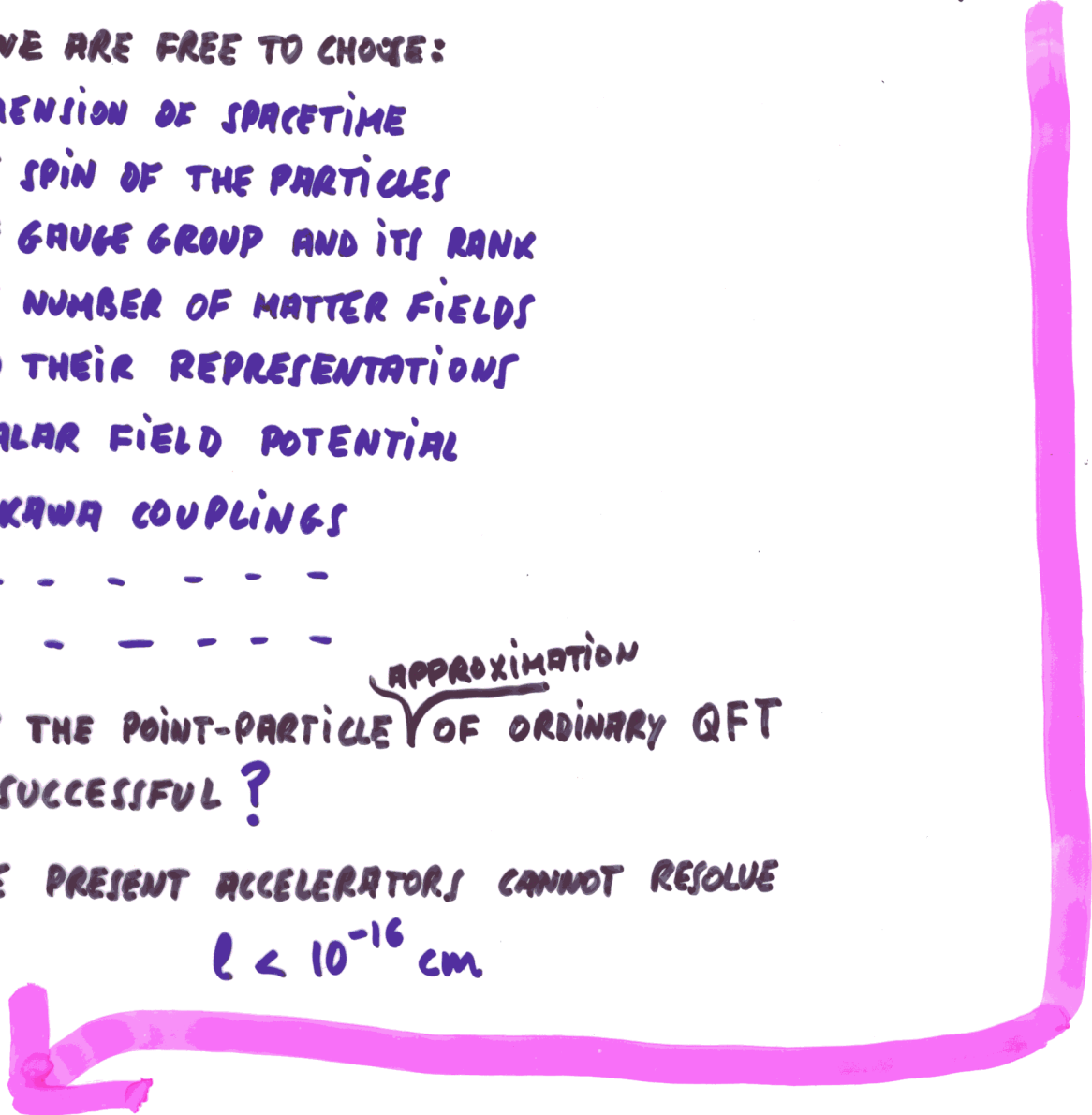
- DIMENSION OF SPACETIME
- THE SPIN OF THE PARTICLES
- THE GAUGE GROUP AND ITS RANK
- THE NUMBER OF MATTER FIELDS AND THEIR REPRESENTATIONS
- SCALAR FIELD POTENTIAL
- YUKAWA COUPLINGS
- - - - - -
- - - - - -

APPROXIMATION

SO, WHY THE POINT-PARTICLE OF ORDINARY QFT IS SO SUCCESSFUL?

BECAUSE PRESENT ACCELERATORS CANNOT RESOLVE

$l < 10^{-16}$ cm



① THERE IS ALWAYS A MASSLESS PARTICLE IN THE SPECTRUM

WITH $s=2$

GRAVITY

③ THERE ARE NO FREE PARAMETERS

ALL COUPLING ^{CONSTANTS} ARE IN FACT NO CONSTANTS

BUT **FIELDS**

THE MEASURED EXPERIMENTAL VALUES OF

$$g_3, g_2, g_1, \lambda_u, \lambda_d, \lambda_e, \dots$$

CORRESPOND TO THE **VEVs** OF THOSE FIELDS

e.g.

$$g_a \sim \langle S \rangle \rightarrow \text{DILATON} \quad \left(\begin{array}{l} \text{ASSOCIATED TO THE} \\ \text{GRAVITATIONAL SECTOR} \end{array} \right)$$

$$\lambda_i \sim \langle T_j \rangle \rightarrow \text{MODULI} \quad \left(\begin{array}{l} \text{RELATED TO THE} \\ \text{COMPACT DIMENSIONS} \end{array} \right)$$

④ SUPERSTRING THEORY IS ONLY CONSISTENT IN

$D = 10 \rightarrow \begin{matrix} 1 + 9 \\ 4 + 6 \end{matrix}$

⑤ THERE ARE ONLY FIVE DIFFERENT SUPERSTRINGS

TYPE	MASSLESS STATES	SUSY	STRING
I	SO(32) YANG-MILLS + SUGRA	N=1	OPEN + CLOSED
Heterotic	SO(32) YANG-MILLS + SUGRA	N=1	CLOSED
Heterotic	$E_8 \times E_8$ YANG-MILLS + SUGRA	N=1	CLOSED
II A	SUGRA	N=2	CLOSED
II B	SUGRA	N=2	CLOSED

SINCE THE WORLD WE LIVE IS $D=4$, SIX EXTRA SPATIAL DIMENSIONS MUST BE COMPACTIFIED TO BE UNOBSERVABLE

IT IS OF COURSE DIFFICULT TO IMAGINE AN UNIVERSE
WITH $R^4 \times M^6$

BUT A SIMPLER MODEL IS PROVIDED BY $R^1 \times S^1$



HETEROTIC $E_8 \oplus E_8$

MASSLESS STATES

D=10, N=1 SUGRA

g_{MN} (graviton)	Ψ_M (gravitino)
B_{MN} (antisym. tensor)	λ (spinor)
ϕ (scalar)	

DILATON

D=10, N=1 SUPER YANG-MILLS

A_M^α (gauge boson)	χ^α (gauginos)
$E_8 \times E_8$ gauge index	

BOSONS: $35 + 28 + 1 = 64$ FERMIONS: $56 + 8 = 64$ GAUGE BOSONS: 2×248 GAUGINOS: 2×248

- THERE ARE AS MANY BOSONS AS FERMIONS: SUPER SYMMETRY
- IT IS ANOMALY FREE
- $E_8 \times E_8$ → NATURAL HIDDEN SECTOR

COMPACTIFICATION DOWN TO 4 DIMENSIONS

6 EXTRA DIMENSIONS ARE COMPACTIFIED $M_4 \times M_6$ WITH $V_{M_6} \sim (M_P)^{-6}$
 $(10^{-33} \text{ cm})^6$

EACH 10-DIMENSIONAL FIELD LEADS TO AN INFINITE TOWER OF D=4 FIELDS

e.g. FROM $A_M \rightarrow 0, \dots, 9$ ONE GETS BOTH

D=4 VECTOR BOSONS $A^M(x_\mu; x_n) = \sum_S Y_S(x_n) A_S^M(x_\mu)$ $\rightarrow 0, 1, 2, 3$

D=4 SCALARS $A^M(x_\mu; x_n) = \sum_S Y'_S(x_n) A_S^M(x_\mu)$ $\rightarrow 4, 5, \dots, 9$

- THE MASSLESS FIELDS ($S=0$) IN THE EXPANSION SHOULD GIVE RISE TO THE S.M. PARTICLES
- WHAT PARTICLES ARE LIGHT DEPENDS ON THE PROPERTIES OF M_6

e.g. in $D=5$ WITH



$$0 \leq \gamma \leq 2\pi R$$

A MASSLESS SCALAR $\varphi(x_\mu, \gamma)$ HAS THE FOLLOWING K.G. EQUATION :

$$\square_5 \varphi = 0 \quad \text{i.e.} \quad \left(\square_4 + \frac{\partial^2}{\partial \gamma^2} \right) \varphi = 0$$

IMPOSING $\varphi(x_\mu, \gamma=0) = \varphi(x_\mu, \gamma=2\pi R)$



$$\varphi(x_\mu, \gamma) = \sum_{n=0}^{\infty} e^{i \frac{n\gamma}{R}} \phi_n(x_\mu)$$

WITH

~~FIELDS~~ $\left(\square_4 - \frac{n^2}{R^2} \right) \phi_n = 0$

$$m_n^2 = \frac{n^2}{R^2}$$

$n \neq 0 \rightarrow$ FIELDS WITH $m_n \sim M_p$ (unobservable)

$n = 0 \rightarrow$ MASSLESS FIELDS

\downarrow
THE PARTICLES THAT WE OBSERVE AT LOW ENERGY

* THE STATES MUST BE INVARIANT UNDER THE DISCRETE SYMMETRIES OF THE COMPACT SPACE

IN ORBIFOLDS, WE MUST PROJECT ONTO $S \times G$ INVARIANT STATES

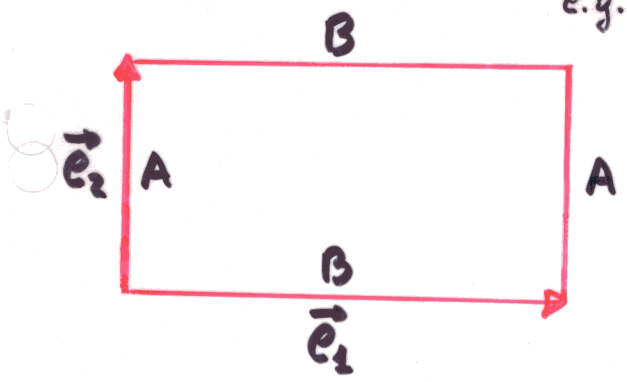
$$\begin{array}{l}
 * \quad g_{MN} \rightarrow g_{\mu\nu}, g_{mn} \quad ; \quad S \sim \phi + i b \\
 \quad B_{MN} \rightarrow B_{\mu\nu}, B_{mn} \quad ; \quad T \sim g_{mn} + i b_{mn} \\
 \quad \quad \quad \quad \downarrow \\
 \quad \quad \quad \quad b
 \end{array}$$

What is String Phenomenology ?

The Search of the Standard Model in String Theory

EXAMPLE OF A 2-DIMENSIONAL COMPACT SPACE : TORUS

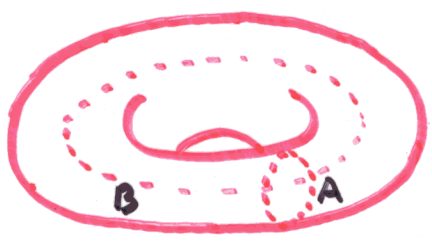
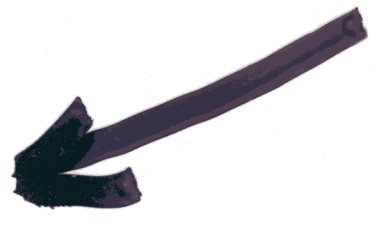
e.g. ORTHONORMAL LATTICE



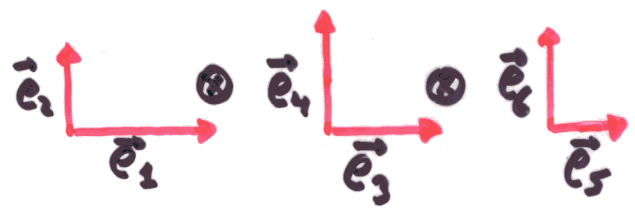
IDENTIFICATION $X = X + \vec{e}_1$
 $Y = Y + \vec{e}_2$

GLUING THE SIDES A AND THE SIDES B

$T_2 = \mathbb{R}^2 / \Lambda$, $\Lambda = \{ e_i ; \text{LATTICE VECTORS} \}$
 $i=1,2$



e.g. $M_6 = T_2 \otimes T_2 \otimes T_2$



- The compactification of the $E_8 \times E_8$ heterotic superstring on six-dimensional spaces was the starting point for this race

Calabi-Yau, orbifold, fermionic, ..., 85-86

- It was shown that these compactifications can give rise to four-dimensional standard-like models,

86-87-88



This is interesting: at least we know that something close to the real world can arise from strings

In fact, not any kind of compact space is allowed, only those producing $N=1$ susy, and therefore μ -stability, are interesting for phenomenology, i.e. those manifolds with $SU(3)$ holonomy
Kähler
 E.g. a torus produces $N=4$ susy

EXAMPLE:

2 - TORUS

$$T^2 \equiv R^2/\Lambda$$

(51)
 $\Lambda = (e_1, e_2)$

$$O^2 \equiv T^2/P$$

$$[PX = -X]$$

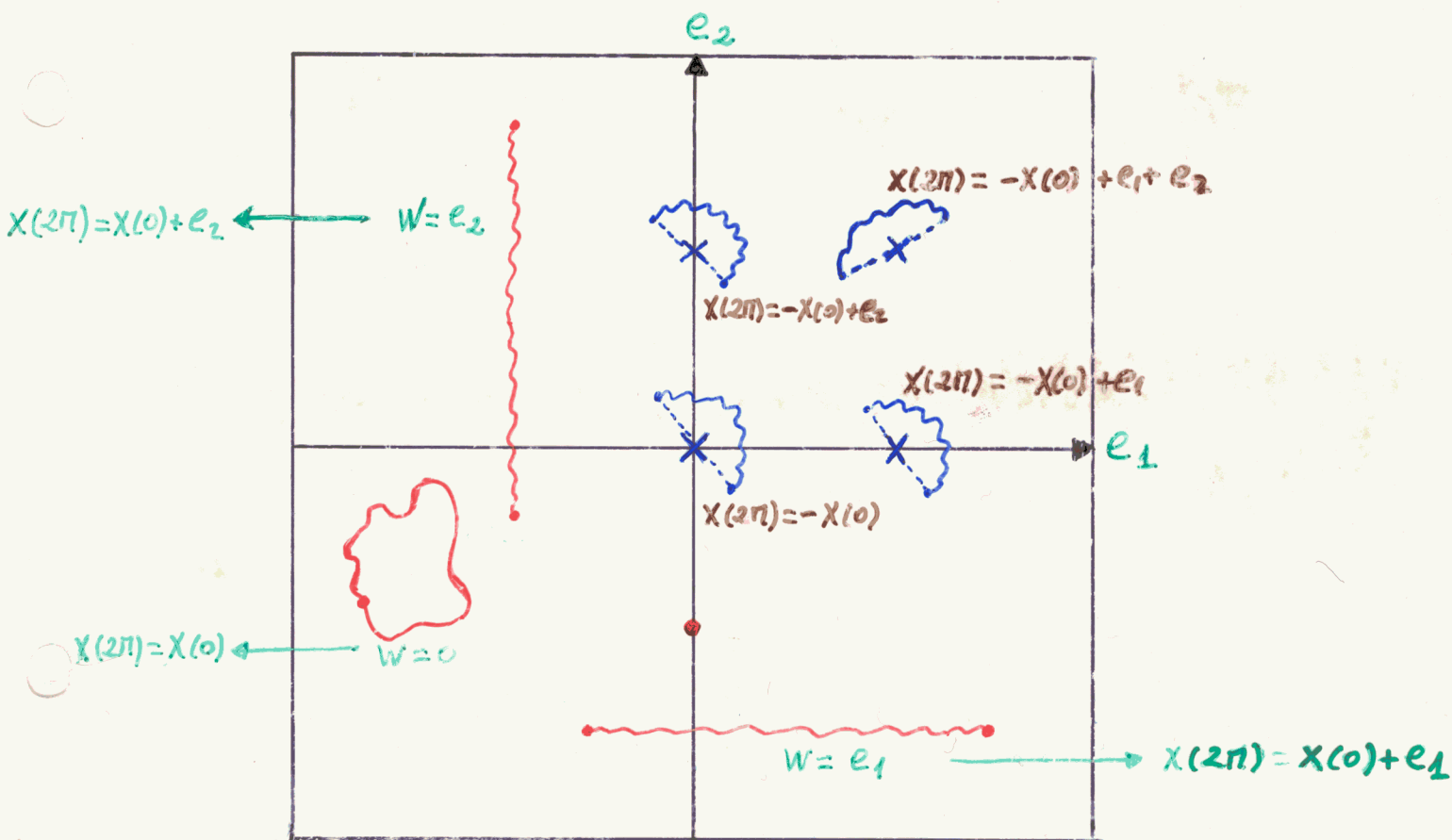
FIXED POINTS UNDER P:

$$X_F = \theta X_F + \sum m_i e_i = -X_F + \sum m_i e_i$$

$$\Rightarrow X_F = \frac{1}{2} \sum m_i e_i$$

m_i INTEGERS

- $m_i = (0, 0)$
- $(1, 0)$
- $(0, 1)$
- $(1, 1)$



TWO TYPES OF CLOSED STRINGS:

UNTWISTED $\rightarrow X(\sigma=2\pi) = X(\sigma=0) + W$ VECTOR IN THE LATTICE
 (THEY ARE CLOSED ON THE TORUS)

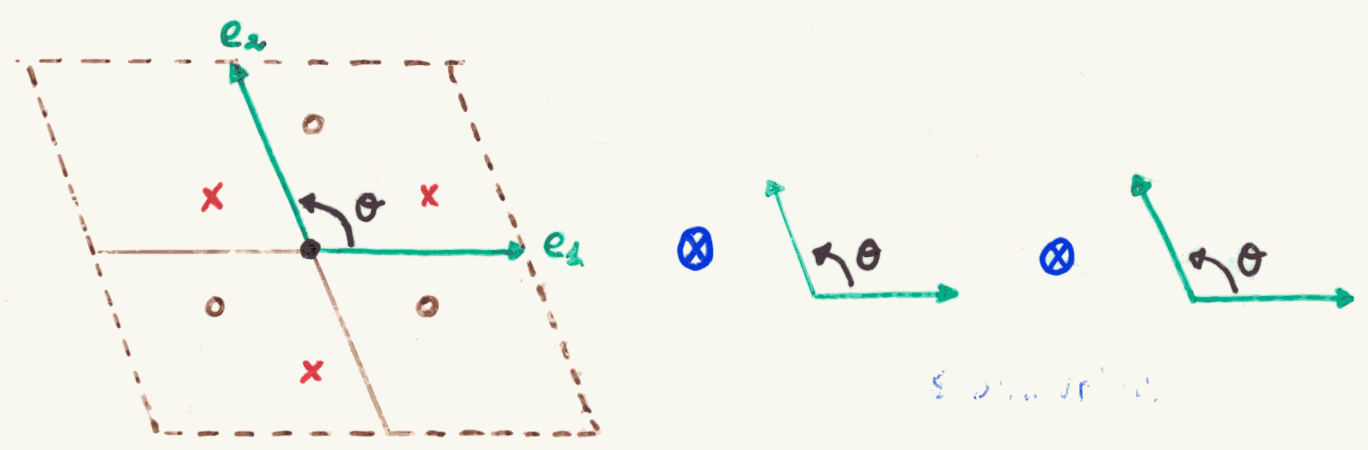
$$T_1 = R_1^2 = e_1 e_1$$

TWISTED $\rightarrow X(\sigma=2\pi) = \theta X(\sigma=0) + W$
 (THEY ARE CLOSED ON THE ORBIFOLD BUT NOT ON THE TORUS)

ITS CENTER OF MASS COORDINATES CORRESPONDS TO THE POSITION OF THE DIFFERENT FIXED POINTS

Z₃ ORBIFOLD :

$T^6 \equiv R^6 / (SU(3)^3 \text{ ROOT LATTICE})$



$P \equiv (120^\circ \text{ ROTATION}) \otimes (120^\circ \text{ ROTATION}) \otimes (120^\circ \text{ ROTATION}) \equiv \theta \otimes \theta \otimes \theta$
 $\theta = e^{i \frac{2\pi}{3}}$

$\rho^3 = 1$

$O^6 = \frac{T^6}{P}$

FIXED POINTS : $\left(\begin{matrix} \circ & \circ & \circ \\ \times & \times & \times \\ \bullet & \bullet & \bullet \end{matrix} \right) = 3 \times 3 \times 3 = 27$

D=10

$E_8 \otimes E_8$ HETEROTIC

(53)

FIELD THEORY LIMIT

D=10

N=1 SUGRA + $E_8 \times E_8$ YANG-MILLS

$\sim 10^{18}$ GeV

COMPACTIFICATION

D=4

N=1 SUGRA + $SU(3)_c \otimes SU(2)_L \otimes U(1)_Y \otimes G_{\text{hidden}}$

It was possible to obtain models, e.g. using orbifolds, with

$$SU(3) \times SU(2) \times U(1)^5 \times G_{hidden}$$

and **three generations of particles**

(**plus extra particles**)

And with the following properties:

- One of the $U(1)$'s is usually anomalous
- Combinations of the non-anomalous $U(1)$'s give rise to the physical hypercharge
- The Fayet–Iliopoulos D-term can give rise to the breaking of the extra $U(1)$'s through $\langle \phi \rangle$

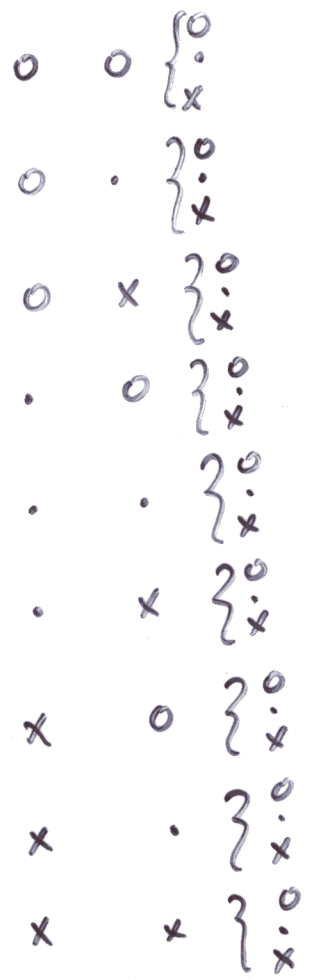
In this way it was possible to construct **supersymmetric** vacuum states with

- $SU(3) \times SU(2) \times U(1)_Y \times SO(10)_{\text{hidden}}$
- with three generations of particles

THE THREE GENERATIONS ARISE BECAUSE

- IN ADDITION TO THE OVERALL FACTOR OF 3 COMING FROM THE RIGHT MOVING PART OF THE UNTWISTED MATTER
- THE TWISTED MATTER COME IN 9 SETS WITH 3 EQUIVALENT SECTORS ON EACH ONE

Let us suppose that the two w.l. correspond to the first and second sublattices



* IN A \mathbb{Z}_3 ORBIFOLD (with 2 W.L.)
 THERE ARE AUTOMATICALLY 3 FAMILIES

* AND IT IS POSSIBLE TO CONSTRUCT MODELS LIKE

$$[SU(3) \otimes SU(2) \otimes U(1)^5] \otimes [SU(2) \otimes SU(2) \otimes U(1)^6]'$$

(IN THIS EXAMPLE THERE ARE 11 U(1) CHARGES)

WITH THE FOLLOWING MATTER CONTENTS

$$3 \{ (3, 2) + (\bar{3}, 1) + (\bar{3}, 1) + (1, 2) + \mathbb{1} \}$$

$$+ \underline{3} \{ \underline{4} (1, 2) + 6 (2, 1)' + 6 (1, 2)' + (2, 2)' + \underline{48} \mathbb{1} \}$$

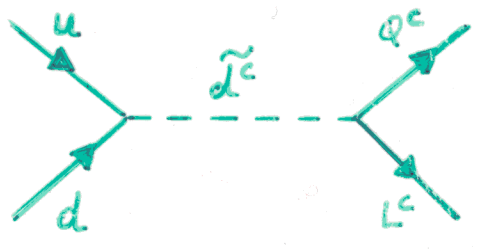
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0 -1	(1,2)'	-3	-2	3	-1	1	0	2	3	-1	-1	-1				-1	-1	0	-4	0	2	-2	-6	2	3	1	-1	-1

Table 1

BARYON AND LEPTON NUMBER VIOLATING OPERATORS

$Q_L L d^c$, $u^c d^c d^c$, $LL e^c$

→ THEY INVOLVE THE STANDARD (SUPERSIM.) PARTICLES SO THEY ARE PRESENT IN ANY REALISTIC MODEL



DANGEROUS!

e.g. SUSY (R-PARITY) RATHER ARTIFICIAL

ALL THESE OPERATORS ARE NATURALLY FORBIDDEN BECAUSE THEY ARE NOT U(1) INVARIANTS HERE

e.g. $u^c = (0 \ 0 \ 0 \ 2 \ 2 \ 4 \ 0 \ 0 \ 0 \ 2 \ -2)$
 $d^c = (0 \ 0 \ 0 \ 2 \ -2 \ 0 \ -4 \ 0 \ 0 \ 2 \ 2)$
 $d^c = (0 \ 0 \ 0 \ 2 \ -2 \ 0 \ -4 \ 0 \ 0 \ 2 \ 2)$

?

* THE FINAL RESULT UNDER $SU(3) \times SU(2)$ is:

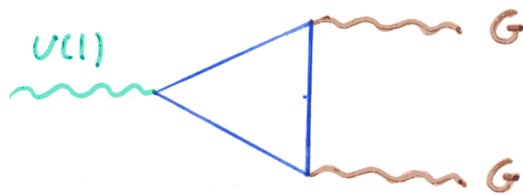
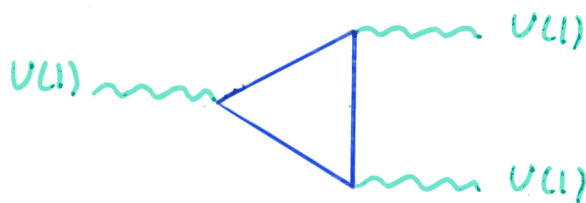
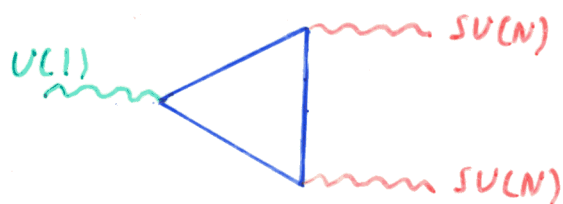
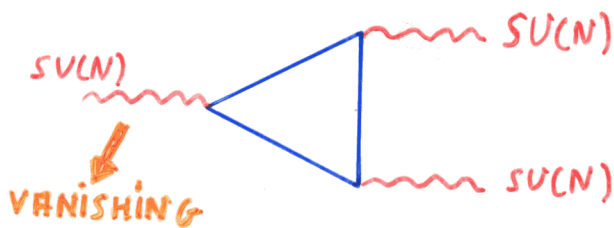
$$3 \{ (3, 2) + (\bar{3}, 1) + (\bar{3}, 1) + (1, 2) + \mathbb{1} \}$$

$$+ 3 \{ 4(1, 2) + 6(2, 1)' + 6(1, 2)' + (2, 2)' + 48\mathbb{1} \}$$

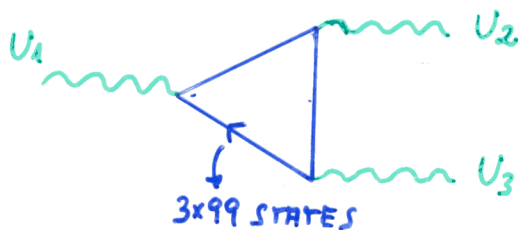
THERE ARE 3×99 STATES

ANOMALY

THERE ARE DIFFERENT TYPES OF ANOMALIES:



* THE 165 DIAGRAMS:



$$U_1 \neq U_2 \neq U_3 \neq U_1$$

ARE ALSO VANISHING

$$\Rightarrow \partial^\mu J_\mu^i = \frac{g^3}{-32\pi^2} \left\{ \sum_j A(i, j, j) F_{\mu\nu}^j \tilde{F}^{j\mu\nu} + \sum_l B(i, l, l) G_{\mu\nu}^{(l)a} \tilde{G}_a^{(l)\mu\nu} - C(i) R \tilde{R} \right\}$$

$$i, j = 1, 2, \dots, 11$$

$$l = 1, \dots, 4$$

* THE 176 QUANTITIES $A(i, j, j)$, $B(i, l, l)$, $C(i)$ ARE:

- FOR THE FIRST $U(1)$:

$$\begin{aligned}
 A(1, 1, 1) &= \frac{1}{3} \sum_n (Q_1^{(n)})^3 = -\sqrt{6}/2 \\
 A(1, j, j) &= \sum_n Q_1^{(n)} (Q_j^{(n)})^2 = -\sqrt{6}/2 \quad ; j \neq 1 \\
 B(1, l, l) &= \sum_m K_m Q_1^{(m)} = -\sqrt{6}/2 \\
 C(1) &= \frac{1}{24} \sum_n Q_1^{(n)} = -\sqrt{6}/2
 \end{aligned}$$

$n = 1, 2, \dots, 3 \times 99$ (STATES OF THE MODEL)
 $m \equiv$ NON-ABELIAN REPRESENTATION
 $K_m \equiv$ INDEX OF THE m -REPRESENTATION

- IN AN ANALOGOUS WAY

$$\begin{aligned}
 A(2, j, j) &= B(2, l, l) = C(2) = -3/2 \\
 A(3, j, j) &= B(3, l, l) = C(3) = 0 \\
 A(4, j, j) &= B(4, l, l) = C(4) = \sqrt{2}/2 \\
 A(5, j, j) &= B(5, l, l) = C(5) = 0 \\
 A(6, j, j) &= B(6, l, l) = C(6) = 1/2 \\
 A(7, j, j) &= B(7, l, l) = C(7) = -1/2 \\
 A(8, j, j) &= B(8, l, l) = C(8) = 0 \\
 A(9, j, j) &= B(9, l, l) = C(9) = 0 \\
 A(10, j, j) &= B(10, l, l) = C(10) = \sqrt{2}/2 \\
 A(11, j, j) &= B(11, l, l) = C(11) = 0
 \end{aligned}$$

* SO THERE ARE 5 $U(1)$'s WHICH ARE DIRECTLY NON-ANOMALOUS

$$U_3, U_5, U_8, U_9, U_{11}$$

FROM THE OTHER 6 IT IS EASY TO FIND 5 ORTHONORMAL COMBINATIONS WHICH ARE ALSO NON-ANOMALOUS

$$\tilde{U}_1 = \frac{1}{\sqrt{5}} (3 U_1 - \sqrt{6} U_2)$$

$$\tilde{U}_2 = \frac{1}{\sqrt{2}} (U_4 - U_{10})$$

$$\tilde{U}_3 = \frac{1}{\sqrt{2}} (U_6 + U_7)$$

$$\tilde{U}_4 = \frac{1}{\sqrt{6}} \{ \sqrt{2} (U_6 - U_7) - (U_4 + U_{10}) \}$$

$$\tilde{U}_5 = \frac{1}{3\sqrt{210}} \{ 15 [(U_6 - U_7) + \sqrt{2} (U_4 + U_{10})] + 6 (\sqrt{6} U_1 + 3 U_2) \}$$

THEREFORE, FROM THE 11 U(1)'S WE ARE LEFT WITH JUST ONE ANOMALOUS COMBINATION:

$$X = \frac{1}{\sqrt{21}} \{ (U_6 - U_7) + \sqrt{2} (U_4 + U_{10}) - (\sqrt{6} U_1 + 3 U_2) \}$$

MOREOVER, FOR THIS:

$$\partial^\mu J_\mu^X = \frac{g^3}{-32\pi^2} \left(\frac{\sqrt{21}}{2} \right) \left\{ \sum_{j=1}^{11} F_{\mu\nu}^j \tilde{F}^{j\mu\nu} + \sum_{a=1}^4 G_{\mu\nu}^{(e)a} \tilde{G}^{(e)a\mu\nu} - R\tilde{R} \right\}$$

IT IS POSSIBLE TO FIND COMBINATIONS OF THE REMAINING $U(1)$ 'S
WHICH GIVE THE CORRECT HYPERCHARGE TO THE STATES

e.g.

$$Y = -\frac{22}{30} U_3 - \frac{3}{2} U_5 + \frac{37}{30} U_8 + \frac{7}{5} U_9 - \frac{\sqrt{105}}{30} \tilde{U}_5$$

ANOMALY CANCELLATION

$$\partial_\mu j^\mu \sim F\tilde{F} - R\tilde{R}$$

$$B_{\mu\nu} \sim b$$

$$b(F\tilde{F} - R\tilde{R})$$

→ FROM 10-DIMENSIONAL GREEN-SCHWARZ TERMS

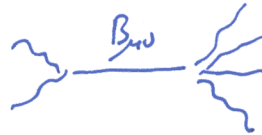
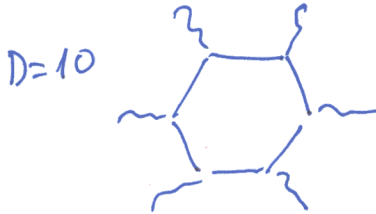
IT WILL BE ABLE TO ABSORB THE REMAINING ANOMALY BY ASSIGNING A GAUGE TRANSFORMATION TO THE FIELD b :

$$b \longrightarrow b + \frac{c\lambda}{2} \text{Tr} Q^{(a)}$$

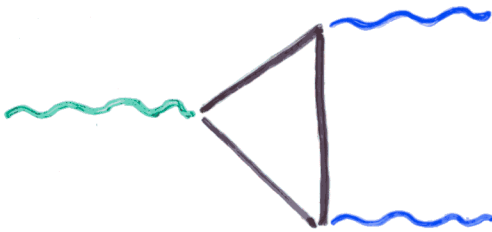
ANOMALY CANCELLATION

$$\partial_{\mu} j^{\mu} \sim F\tilde{F} - R\tilde{R}$$

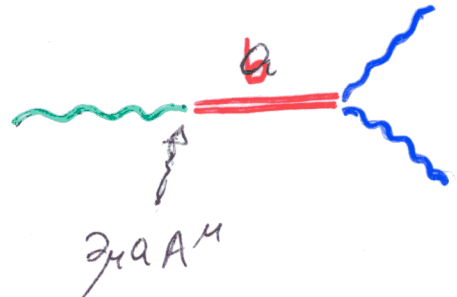
$$B_{\mu\nu} \sim b \quad b(F\tilde{F} - R\tilde{R})$$



D=4



+



a : axion
dual to NS-NS $B_{\mu\nu}$

FAYET-ILIPOULOS D-TERM IN GLOBAL SUSY

IF THE THEORY IS $U(1)$ INVARIANT WE CAN ADD BY HAND:

$$K \int d^4\theta V$$



$$V_{\text{scalar}} \sim \frac{1}{2} D^2 = \frac{1}{2} [g \sum_i A_i^* A_i]$$

NO BROKEN SUSY

$$\langle A_i \rangle = 0$$

$$\longrightarrow V_{\text{scalar}} \sim \frac{1}{2} D^2 = \frac{1}{2} [K + g \sum_i A_i^* A_i]^2$$

NO BROKEN SUSY

$$\langle A_i \rangle \neq 0 / K + g \sum_i A_i^* A_i = 0$$



THE $U(1)$ IS BROKEN:

$$g^2 \langle A_i^* \rangle \langle A_i \rangle \frac{1}{\Lambda^2} V_{\mu\nu} V^{\mu\nu}$$

FAYET-ILIPOULOS D-TERM IN SUPERSTRINGS

$b \rightarrow b + c\lambda$ IN ORDER TO CANCEL THE ANOMALY ; $\gamma = (\phi^{-2} + ib) + \theta \dots$

$$K = -\frac{c}{\Lambda} (\gamma + \gamma^+) + \dots$$

$\gamma + \gamma^+$ IS NOT INVARIANT UNDER $b \rightarrow b + c\lambda$ SO

$$\gamma + \gamma^+ \rightarrow \gamma + \gamma^+ + cV \quad \text{WHICH IS INVARIANT}$$

↳ "ANOMALOUS" $U(1)$

THEREFORE $K = -\frac{c}{\Lambda} (\gamma + \gamma^+ + cV) + \dots$



$$V_{\text{scalar}} \sim \frac{1}{2} D^2 \sim [c + g \sum_i A_i^* A_i]$$

A F-I TERM APPEARS IN A NATURAL WAY (NOT BY HAND) IN SUPERSTRINGS, LOWERING THE RANK (IT IS POSSIBLE TO OBTAIN A $SU(3) \times SU(2) \times U(1)$).

THE "ANOMALOUS" U(1) GENERATES A SO-CALLED
FAYET-ILIPOULOS D-TERM

$$V_{\text{scalar}} \sim \frac{1}{2} D^2 \sim \left[\frac{c}{s} + \sum_i Q_i \eta_i \eta_i^* \right]$$

$\text{Tr } Q \cdot M_p^2$

IN ORDER TO PRESERVE SUPERSYMMETRY AT HIGH ENERGIES,
SOME SCALARS MUST ACQUIRE LARGE VEVs

WITH VANISHING
HYPERCHARGES

$$\langle \eta_i \rangle \sim M_p$$



AND SINCE THEY ARE GENERICALLY CHARGED UNDER THE
EXTRA U(1)'s, THE GAUGE SYMMETRY IS BROKEN

$$SU(3) \times SU(2) \times U(1)^n \longrightarrow SU(3) \times SU(2) \times U(1)_Y$$

$$g^2 \langle \eta_i^* \rangle \langle \eta_i \rangle V_\mu V^\mu$$



IN ADDITION, EXTRA PARTICLES BECOME MASSIVE $\sim M_p$

$$\langle \eta \rangle \{ \}$$

In this way it was possible to construct supersymmetric vacuum states (I,II) where

~~DISCUSS~~

- $SU(3) \times SU(2) \times U(1)^8 \times SO(10)$
 $\rightarrow SU(3) \times SU(2) \times U(1)_Y \times SO(10)_{hidden}$

FI

- with three generations of particles.
- baryon and lepton number violating operators absent.

Recently, another model (III) has been analyzed

$$SU(3) \times SU(2) \times U(1)^8 \times SU(5) \times SU(2)$$

Unfortunately, we cannot claim that one of these models is the Superstring Standard Model, e.g.

- $3 \times \{(3, 2) + 2(\bar{3}, 1) + (1, 2) + 1\} + 3 \times \{(16)' + 4[(3, 1) + (\bar{3}, 1)] + 12(1, 2) + 56 \cdot 1\}$
 $\rightarrow 3 \times \{(3, 2) + 2(\bar{3}, 1) + (1, 2) + 1\} + 3 \times \{4(1, 2) + 4 \cdot 1 + (16)' + 11 \cdot 1'\}$

FI

HOWEVER, WE ARE OPTIMISTIC PEOPLE, AND THEREFORE
WE ARGUE THAT IF THE STANDARD MODEL ARISES
FROM STRINGS, THERE MUST EXIST ONE MODEL WITH

NO EXTRA MATTER

But...

Is a model with the gauge group of the Standard Model and three families of quark and leptons, the sought-after Standard Model ?

By no means !

THE CORRECT MODEL MUST REPRODUCE ALSO THE RIGHT MASSES FOR QUARKS AND LEPTONS

e.g. the following hierarchies

$$\frac{m_t}{m_u} \sim 10^5$$

$$\frac{m_z}{m_e} \sim 10^3$$

YUKAWA COUPLINGS

GIVEN THE COMPACT SPACE ONE CAN COMPUTE ALL COUPLINGS IN 4 DIMENSIONS

D=10



$$\int dx^{10} \sqrt{-g} \chi(x_M) \delta^M A_N(x_M) \chi(x_M)$$

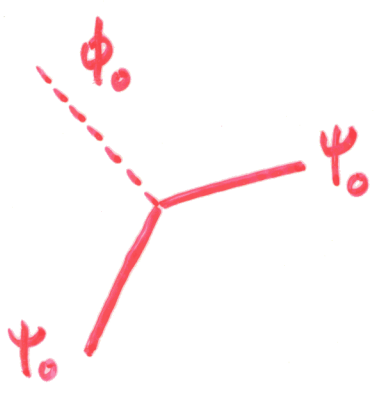
EXPANSION IN D=4 FIELDS:

$$A_m(x_M) = \sum_s \gamma_s'(x_m) \phi_s(x_m) \quad \substack{\leftarrow 0, 1, 2, 3 \\ \leftarrow 4, \dots, 9}$$

$$\chi(x_M) = \sum_s \gamma_s''(x_m) \psi_s(x_m)$$

"s=0" → FIELDS WHICH SURVIVE AT LOW ENERGY

D=4



$$\mathcal{L}_{\text{YUKAWA}} = g \underbrace{\left(\int dx^6 \sqrt{-g} \gamma_0''(x_m) \gamma_0'(x_m) \gamma_0''(x_m) \right)}_{\text{YUKAWA COUPLING CONSTANT } \lambda} \underbrace{\left(\int dx^4 \sqrt{-g} \psi_0(x_m) \phi_0(x_m) \psi_0(x_m) \right)}_{\text{USUAL YUKAWA COUPLING e.g. QUARK-HIGGS-QUARK}}$$

$\lambda = \lambda(R_i)$

SIZE AND SHAPE OF M_6

$R_i \sim \langle T_i \rangle$ GAUGE SINGLET FIELDS (MODULI)

ARISING IN THE MASSLESS SECTOR OF THE D=4 SUPERGRA FROM STRINGS

e.g. $\lambda_e(\langle T_i \rangle) \langle H \rangle e_L e_R$
 m_e

The correct model must reproduce also the correct mass hierarchy for quarks and leptons.

$$\frac{m_t}{m_u} \sim 10^5, \quad \frac{m_\tau}{m_e} \sim 10^3$$

BETWEEN
TWISTED-SECTOR
FIELDS

Orbifold spaces have a beautiful mechanism to generate a mass hierarchy: Yukawa couplings can be computed and they get suppression factors

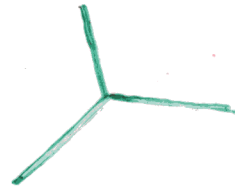
$$\lambda_i \sim e^{-T_i c_i^2}, \quad \text{Re } T_i \sim R_i^2$$

which depend on the distance between the fixed points to which the relevant fields are attached

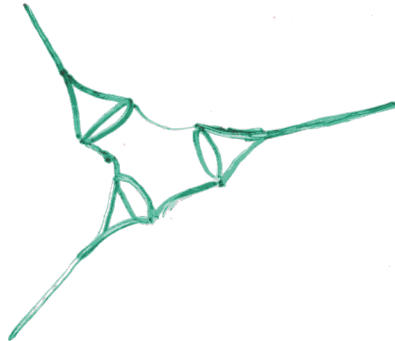


one can span five orders of magnitude the Yukawa couplings

STRINGS IN A TORUS OR IN THE UNTWISTED SECTOR OF AN ORBIFOLD SHRINK TO A POINT



HOWEVER IN THE TWISTED SECTOR TO INTERACT THEY NEED TO STRETCH



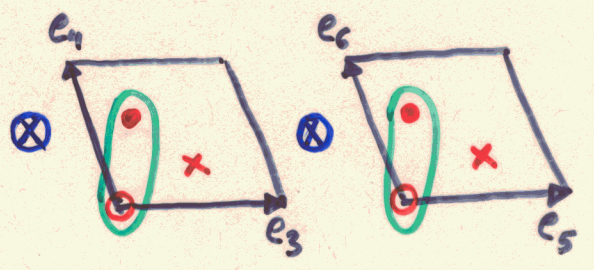
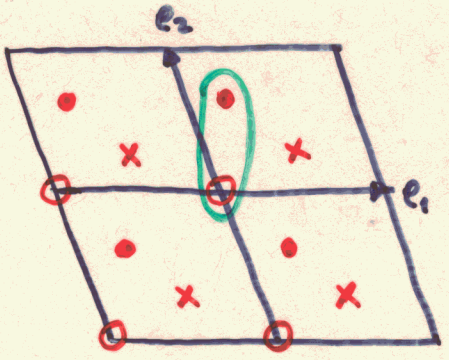
THEY CAN BE INTERPRETED AS (MASSIVE) STRINGS STRETCHED BETWEEN THE DIFFERENT FIXED POINTS

↓
SUPPRESSION

e.g. $Z_3 = \frac{T^6}{P}$

$T^6 = R^6 / (SU(3)^3 \text{ ROOT LATTICE})$

$\theta = (120^\circ) \otimes (120^\circ) \otimes (120^\circ)$
 $P = \{1, \theta, \theta^2\}$



$$X_{\circ} = \theta^n X_{\circ} + \sum_{i=1}^6 n_i e_i \rightarrow 27 \text{ FIXED POINTS}$$

THE CENTER OF MASS COORDINATES OF THE STRINGS CORRESPOND TO THE POSITION OF THE DIFFERENT FIXED POINTS



EACH PARTICLE IS ATTACHED TO ONE FIXED POINT

9 INDEPENDENT PARAMETERS (MODULI) DEFINE THE LATTICE } 3 RADII: R_1, R_2, R_3
 } 6 ANGLES: $\theta_{12}, \theta_{13}, \dots$

THE DIFFERENT SUPPRESSION FACTORS OF YUKAWA COUPLINGS ARE RELATED TO THE DISTANCES BETWEEN FIXED POINTS

$$h_{123} = g N \sum_{\vec{n} \in \mathbb{Z}^6} \exp\left[-\frac{\sqrt{3}}{8\pi} (\vec{f}_{23} + \vec{n})^T M (\vec{f}_{23} + \vec{n})\right] = N \mathcal{V} \left[\begin{matrix} \vec{f}_{23} \\ 0 \end{matrix} \right] [0, \Omega]$$

REPRESENTS THE 6 COMPONENTS OF $(f_2 - f_3)$

JACOBI THETA FUNCTION

with

$$\Omega = i \frac{\sqrt{3}}{8\pi^2} M, \quad N = \sqrt{V_\Lambda} \frac{3^{3/4}}{8\pi^3} \frac{\Gamma^6(\frac{2}{3})}{\Gamma^3(\frac{1}{3})}$$

$$\Omega = i \frac{\sqrt{3}}{8\pi^2} \begin{pmatrix} R_1^2 & -\frac{R_1^2}{2} & R_1 R_3 \alpha_{13} & R_1 R_3 \alpha_{14} & R_1 R_5 \alpha_{15} & R_1 R_5 \alpha_{16} \\ -\frac{R_1^2}{2} & R_1^2 & R_1 R_3 \alpha_{23} & R_1 R_3 \alpha_{13} & R_1 R_5 \alpha_{25} & R_1 R_5 \alpha_{15} \\ R_1 R_3 \alpha_{13} & R_1 R_3 \alpha_{23} & R_3^2 & -\frac{R_3^2}{2} & R_3 R_5 \alpha_{35} & R_3 R_5 \alpha_{36} \\ R_1 R_3 \alpha_{14} & R_1 R_3 \alpha_{13} & -\frac{R_3^2}{2} & R_3^2 & R_3 R_5 \alpha_{45} & R_3 R_5 \alpha_{35} \\ R_1 R_5 \alpha_{15} & R_1 R_5 \alpha_{25} & R_3 R_5 \alpha_{35} & R_3 R_5 \alpha_{45} & R_5^2 & -\frac{R_5^2}{2} \\ R_1 R_5 \alpha_{16} & R_1 R_5 \alpha_{15} & R_3 R_5 \alpha_{36} & R_3 R_5 \alpha_{35} & -\frac{R_5^2}{2} & R_5^2 \end{pmatrix}$$

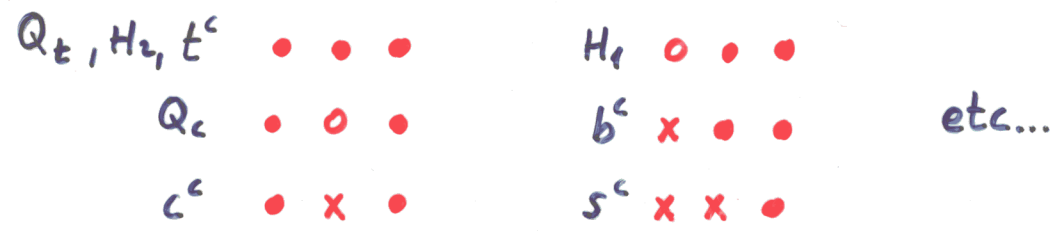
SIZE AND SHAPE OF THE COMPACTIFIED SPACE

$\alpha_{ij} \equiv \cos \theta_{ij}$



GEOMETRICAL ORIGIN OF THE FAMILY MASS HIERARCHY

e.g. CONSIDER THE FOLLOWING ASSIGNMENTS OF FERMIONS TO FIXED POINTS:



TAKING INTO ACCOUNT FOR SIMPLICITY JUST THE DOMINANT TERM IN THE SUM (i.e. THE SHORTEST DISTANCE)

$$\mathcal{L}_{YUK} = g N \left\{ Q_t H_2 t^c + 3 e^{-\beta R_1^2} Q_t H_1 b^c + 3 e^{-\beta R_3^2} Q_c H_2 c^c + 3 e^{-\beta(R_1^2 + R_3^2 - 2R_1 R_3 \cos \theta_{13})} Q_c H_1 s^c + \dots \right\}$$

$$\beta \equiv \frac{1}{8\pi V^3}$$

FOR APPROPRIATE VALUES OF $R_1, R_3, \theta_{13}, \dots$ THE PHYSICAL MASSES CAN BE FITTED

	m_μ	m_τ	m_s	m_c	m_b	m_t
Exp.	0.1056	1.784	0.199	1.35	5	130
Z_3	0.1055	1.786	0.252	1.35	4.1	125
Z_4	0.1062	1.774	0.173	1.35	4.34	104
$Z_6 - I$	0.1056	1.785	0.252	1.35	4.04	122
$Z_6 - II$	1.13	64	5.1	17	8.3	173
Z_7	0.104	1.783	0.466	1.35	5.2	133
$Z_8 - I$	0.087	2.00	0.280	2.17	6.3	36
$Z_8 - II$	0.1058	1.82	0.009	0.89	2.47	172
$Z_{12} - I$	0.103	1.83	0.107	1.40	11	88
$Z_{12} - II$	0.036	2.15	0.045	1.0	30	24

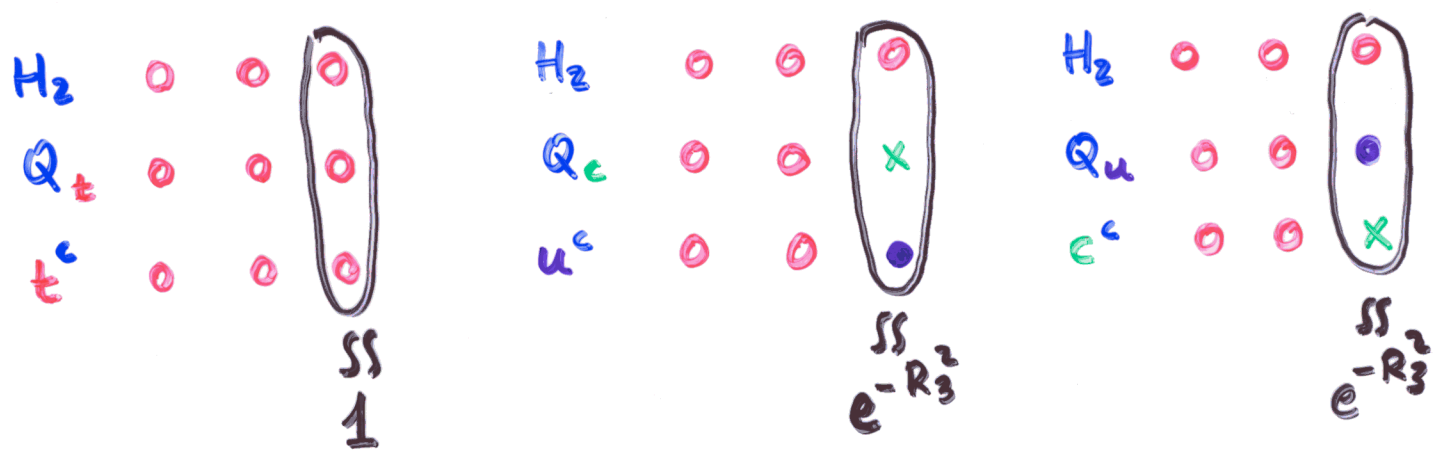
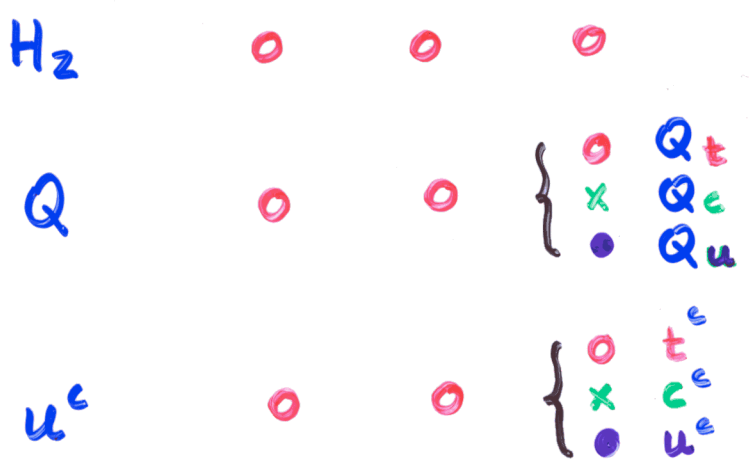
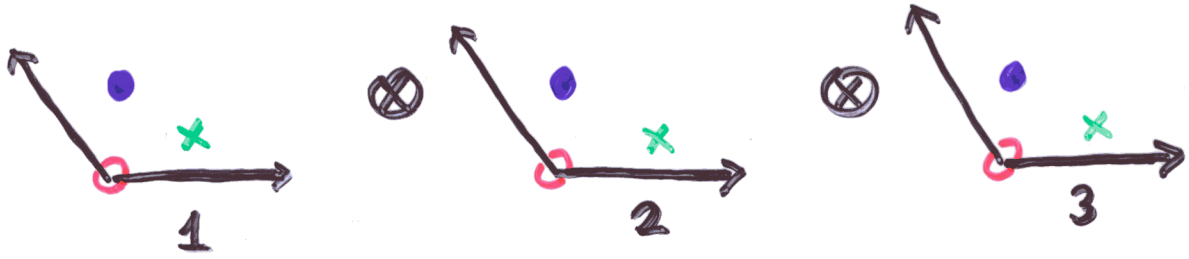
Unfortunately, this is not the end of the story

Nature is even more cruel with string phenomenologists:

$$\mathcal{M}_{\text{CKM}} = \begin{pmatrix} 0.9745 - 0.9760 & 0.217 - 0.224 & 0.0018 - 0.0045 \\ 0.217 - 0.224 & 0.9737 - 0.9753 & 0.036 - 0.042 \\ 0.004 - 0.013 & 0.035 - 0.042 & 0.9991 - 0.9994 \end{pmatrix}$$



$$H_2^0 \bar{u}_{L\alpha} \lambda_u^{\beta\gamma} u_{R\gamma} + H_1^0 \bar{d}_{L\alpha} \lambda_d^{\beta\gamma} d_{R\gamma}$$



AT THE RENORMALIZABLE LEVEL

$$\lambda_{tt} \sim 1, \lambda_{uu} = \lambda_{uc} \sim e^{-R_3^2} \equiv \epsilon_3$$

$$\rightarrow M^u = gN \begin{pmatrix} 0 & v_2 \epsilon_3 & 0 \\ v_2 \epsilon_3 & 0 & 0 \\ 0 & 0 & v_2 \end{pmatrix}$$

Unfortunately, it is extremely difficult to implement this type of mechanisms in a particular model.

Given a model, everything is fixed, and it is not possible to play around

As far as I know, **there is no model in the market with all the necessary Yukawa couplings**

The main difficulty in string model building resides in how to obtain the weird structure of fermion masses and mixing angles

The experimental fact that neutrinos are massive makes this task even more involved:

$$\frac{m_t}{m_u} \sim 10^5, \quad \frac{m_\tau}{m_e} \sim 10^3, \quad \frac{m_e}{m_\nu} \gtrsim 10^6$$

$$\mathcal{M}_{\text{CKM}} = \begin{pmatrix} 0.9745 - 0.9760 & 0.217 - 0.224 & 0.0018 - 0.0045 \\ 0.217 - 0.224 & 0.9737 - 0.9753 & 0.036 - 0.042 \\ 0.004 - 0.013 & 0.035 - 0.042 & 0.9991 - 0.9994 \end{pmatrix}$$

$$\mathcal{M}_{\text{MNS}} = \begin{pmatrix} 0.73 - 0.89 & 0.45 - 0.66 & < 0.24 \\ 0.23 - 0.66 & 0.24 - 0.75 & 0.52 - 0.87 \\ 0.06 - 0.57 & 0.40 - 0.82 & 0.48 - 0.85 \end{pmatrix}$$

ALMOST 20 YEARS HAVE GONE BY
SINCE STRING PHENOMENOLOGY STARTED
AND THE STANDARD MODEL HAS NOT BEEN FOUND YET

However, since we are optimistic people, we can argue that if the Standard Model arises from strings, there must exist at least one model with

the correct structure for Yukawas

This means:

1) with the necessary Yukawa couplings

$$H_2^0 \bar{u}_L \lambda_{uu} u_R + H_2^0 \bar{u}_L \lambda_{uc} c_R + \dots + H_1^0 \bar{d}_L \lambda_{db} b_R + \dots$$

2) with the correct order of magnitude

i.e. one is able to put by hand the values of T_i such that

$$\lambda_t(T_i) \sim 1, \quad \lambda_u(T_i) \sim 10^{-5}, \dots$$

If, at the end of the day, such a model exists,
this would be a great success

But then **another problem** arises:
to compute the explicit values of Yukawas, $\lambda(T_i)$,
we need to know the $\langle T_i \rangle$

Unfortunately, these are related to **the breaking of SUSY**, and...

this is one of the biggest problems in strings

It is true that there are candidates for this task, such as gaugino condensation in a hidden sector $W(S, T_i)$, and that we have hidden-sector gauge groups that could condensate

But, again, implementing this mechanism in a particular model is not easy

SUPERSYMMETRY BREAKING

HOW IS SUPERSYMMETRY BROKEN?

THIS IS ONE OF THE TWO UNSOLVED PROBLEMS IN SUPERSTRINGS

ALTHOUGH THE SITUATION IS MUCH BETTER THAN IN THE CONTEXT OF PURE SUGRA:

IN SUGRA THEORIES COMING FROM $D=4$ SUPERSTRINGS

1 THERE ARE NATURAL CANDIDATES FOR THE "HIDDEN SECTOR" BREAKING SUSY

S T_i

* S, T_i COUPLINGS TO CHARGED MATTER ARE SUPRESSED BY POWERS OF M_p

* THEY ARE GENERICALLY PRESENT IN $D=4$ MODELS

2 K, f ARE CALCULABLE

GIVEN THE COMPACT SPACE ONE CAN DO THE COMPUTATION

$$\mathcal{L}_{D=10}$$

EXPANSION IN
D=4 FIELDS

e.g. ORBIFOLD COMPACTIFICATION



$$\mathcal{L}_{D=4} = -\frac{1}{4} \underbrace{\frac{S+\bar{S}}{2}}_{\text{Re } f_a} F_a^{\mu\nu} F_{\mu\nu}^a + \underbrace{\frac{3}{(T+\bar{T})^2}}_{\frac{\partial^2 K}{\partial T \partial \bar{T}}} \partial_\mu T \partial_\mu \bar{T} + \underbrace{(T+\bar{T})^{n_\alpha}}_{\frac{\partial^2 K}{\partial Q_\alpha \partial \bar{Q}_\alpha}} \partial_\mu Q_\alpha \partial_\mu \bar{Q}_\alpha$$

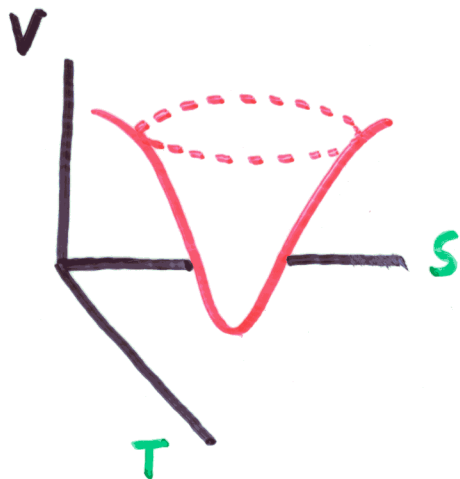
$$\begin{matrix} \downarrow & & \downarrow & & \downarrow \\ \underline{f_a = S} & & \underline{K = -3 \log(T+\bar{T})} + & & (T+\bar{T})^{n_\alpha} Q_\alpha \bar{Q}_\alpha \end{matrix}$$

ALSO $W = \lambda_{\alpha\beta\gamma}(T) Q_\alpha Q_\beta Q_\gamma$

$$V = e^G [G_i (G^{-1})^i_j G^j - 3] = \frac{1}{(S+\bar{S})(T+\bar{T})^3} \left\{ |(S+\bar{S}) \frac{\partial W}{\partial S} - W|^2 + \frac{1}{3} |(T+\bar{T}) \frac{\partial W}{\partial T} - 3W|^2 - 3|W|^2 \right\}$$

$$G = K + \log |W|^2$$

ONE WOULD LIKE TO FIND SOMETHING LIKE

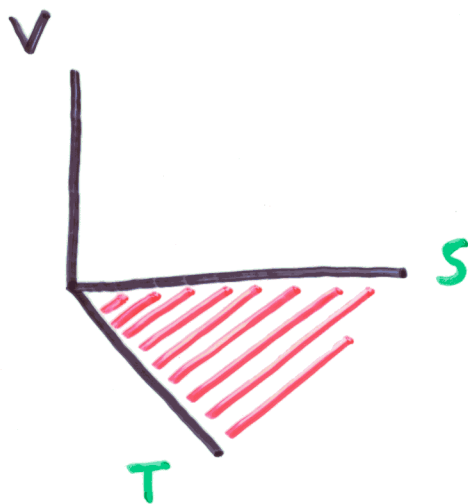


$$\langle S \rangle \sim 2$$

$$\langle T \rangle \sim 1$$

UNFORTUNATELY THIS IS NOT THE CASE :

S, T HAVE A FLAT SCALAR POTENTIAL IN STRING PERTURBATION THEORY



$$V(S, T) = 0$$

EXPECT NON-PERTURBATIVE EFFECTS WILL DETERMINE

$$\langle S \rangle, \langle T \rangle$$

ONE INTERESTING POSSIBILITY IS GAUGINO CONDENSATION

GAUGINO CONDENSATION IN SOME HIDDEN GAUGE GROUP (89)

SUGRA



STRINGS

$$W^{np} \sim e^{-\frac{24\pi^2}{b_0 g^2}}$$

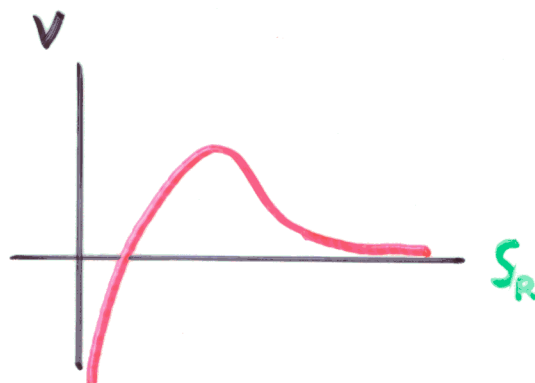
$$W^{np} \sim e^{-\frac{24\pi^2}{b_0} S}$$

$$V = \frac{1}{(S+\bar{S})(T+\bar{T})^3} \left\{ |(S+\bar{S}) \frac{\partial W}{\partial S} - W|^2 + \frac{1}{3} |(T+\bar{T}) \frac{\partial W}{\partial T} - 3W|^2 - 3|W|^2 \right\}$$

$$\frac{\partial V}{\partial S} = 0 \Rightarrow (S+\bar{S}) \frac{\partial W}{\partial S} - W = 0 \quad \text{MINIMUM}$$

$$W^{np} \longrightarrow -(S+\bar{S}) \frac{24\pi^2}{b_0} - 1 = 0 \quad (S+\bar{S}) = -\frac{b_0}{24\pi^2} < 0$$

IMPOSSIBLE SINCE $\langle S_R \rangle = \frac{1}{g^2}$



RUNAWAY BEHAVIOUR

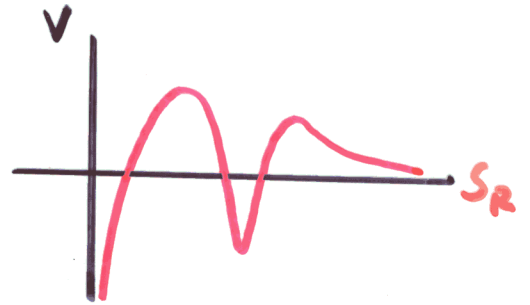
SEVERAL WAYS OUT

* USUALLY SEVERAL HIDDEN FACTORS

$$G_{\text{HIDDEN}} = \prod_a G_a$$

i.e. $E_8 \times E_8 \xrightarrow{\text{COMPACTIFICATION}} SU(3)_c \times SU(2)_L \times U(1)_Y \times [G_1 \times G_2 \times \dots]$

MINIMUM WITH SEVERAL CONDENSATES



$$W^{np} \sim \frac{e^{-\frac{34\pi^2}{b_0} S}}{\Lambda^6(T)} + \dots \rightarrow \begin{cases} G^S = 0 & \langle S_R \rangle \sim 2 \\ G^T \neq 0 & \langle T_R \rangle \sim 1 \end{cases}$$

$R^2 M_p^2 \Rightarrow R \sim 10^{-33} \text{ cm}$

AS DESIRED

SU(2) IS BROKEN
 \tilde{T} IS THE GOLDSTINO

K, F, W ARE KNOWN AND THE HIDDEN SECTOR $\rightarrow m, M, A, B$ CAN BE COMPUTED

e.g. $M \ll m \sim 2 \text{ TeV}$

* GAUGINO CONDENSATION + S-duality

* NON-PERTURBATIVE KÄHLER POTENTIAL

\hookrightarrow THE FORM OF THE CONDENSATE IS MODIFIED

PROBLEMS

⊗ WE HAVE TO ASSUME THAT THE DOMINANT NON-PERTURBATIVE EFFECTS IN STRINGS ARE THE FIELD THEORY ONES

⊗ $\langle V \rangle < 0 \longrightarrow m^2 \sim m_{3/2}^2 + \langle V \rangle < 0$

↙ COSMOLOGICAL CONSTANT PROBLEM IS GENERIC WHEN SUSY IS BROKEN

BUT STILL WE CAN LEARN THINGS ABOUT SOFT TERMS WITHOUT KNOWING THE DETAILS OF SUSY BREAKING i.e. $W^{np}(S, T)$

$$V = e^G [(G_S^S)^{-1} |G^S|^2 + (G_T^T)^{-1} |G^T|^2 - 3]$$

$$\left. \begin{aligned} (G_S^S)^{-1/2} G^S &= \sqrt{3} \sin \theta \\ (G_T^T)^{-1/2} G^T &= \sqrt{3} \cos \theta \end{aligned} \right\} \langle V \rangle = 0$$

$\tilde{h} = \sin \theta \tilde{S} + \cos \theta \tilde{T}$
fermionic partners of S, T

e.g. $\sin \theta = 1 \rightarrow S$ DOMINANT SOURCE OF SUSY BREAKING

$\sin \theta = 0 \rightarrow T$ DOMINANT SOURCE

DILATON-DOMINATED

THE DILATON HAS UNIVERSAL AND MODEL-INDEPENDENT COUPLINGS
SO IF WE ASSUME $\cos \theta = 0$ THE RESULTS FOR THE
SOFT TERMS ARE INDEPENDENT OF THE 4-D STRING CONSIDERED

$$m_\alpha = m_{3/2} \leftarrow W^{NP}(S,T)$$

$$-A_{\alpha\beta\gamma} = M_\alpha = \sqrt{3} m_{3/2} e^{-i\delta_s}$$



① SOFT TERMS ARE UNIVERSAL

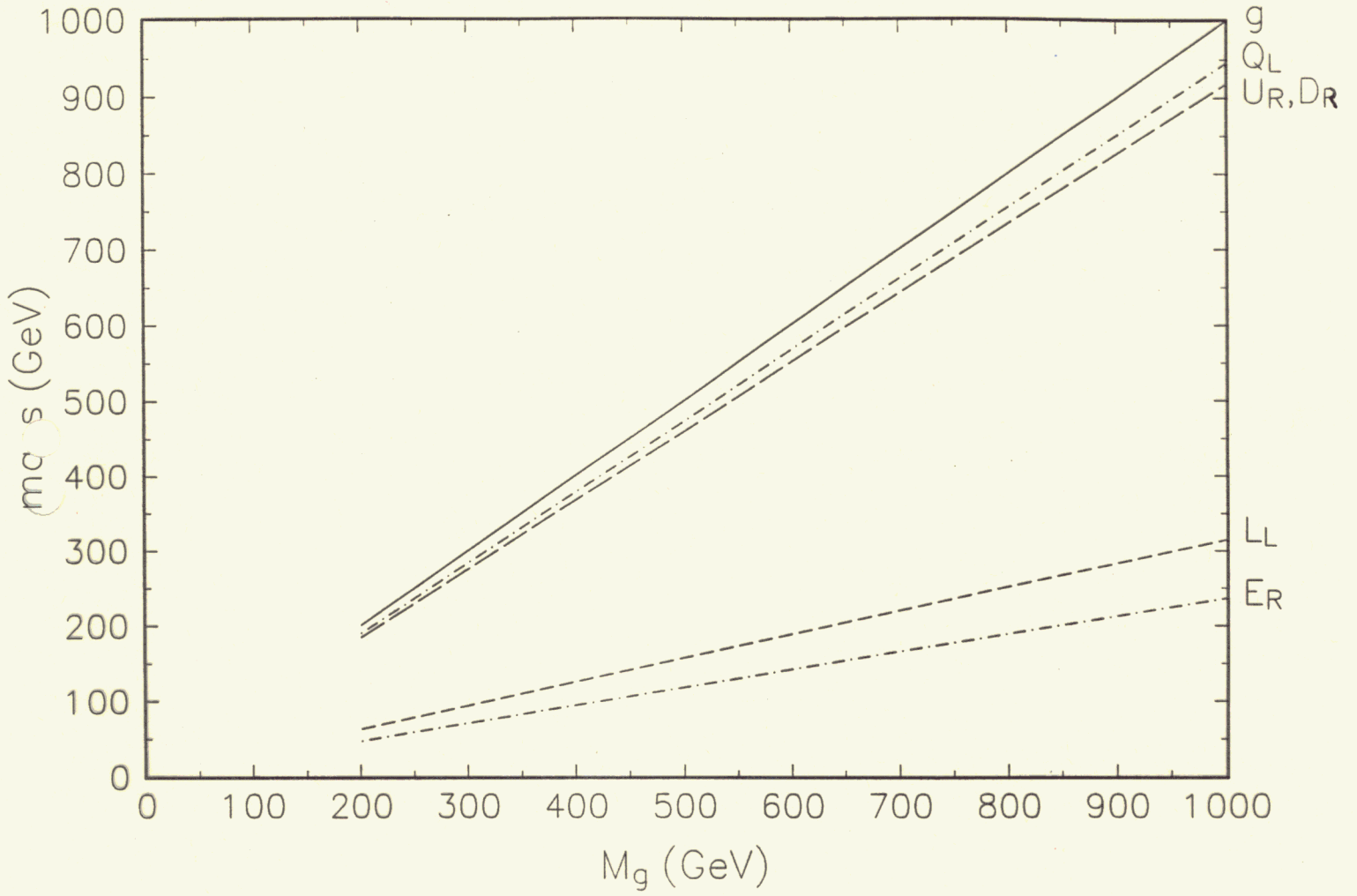
THIS IS A DESIRABLE PROPERTY NOT ONLY TO REDUCE
THE NUMBER OF INDEPENDENT PARAMETERS $33 \rightarrow 1$
BUT ALSO TO AVOID FCNC

② SCALARS ARE LIGHTER THAN GAUGINOS $m < M$

AT LOW-ENERGY

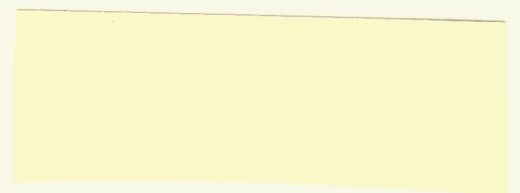
$$\left. \begin{aligned} M_{\tilde{g}}^2 &\simeq 9 M^2 \\ m_{\tilde{q}}^2 &\simeq m^2 + 8 M^2 \\ m_{\tilde{t}}^2 &\simeq m^2 + 0.3 M^2 \end{aligned} \right\} m_{\tilde{t}} \ll m_{\tilde{q}} \simeq M_{\tilde{g}}$$

ALTHOUGH \tilde{t} AND \tilde{q} HAVE THE SAME SOFT MASS,
AT LOW-ENERGY $m_{\tilde{t}} \ll m_{\tilde{q}}$ BECAUSE OF THE GLUINO CONTRIBUTION
TO THE RGE'S



SQUARK, SLEPTON AND GLUINO MASSES VERSUS GLUINO MASS

$$M_{\tilde{g}} \sim m_{\tilde{q}} \sim 3 m_{\tilde{L}_L} \sim 4 m_{\tilde{E}_R}$$



$$M_a = \sqrt{3} m_{3/2}$$

$$m_\alpha = m_{3/2} (1 + n_\alpha \cos^2 \theta)$$

$$n_\alpha = -1, -2, -3, \dots$$

↓
NON UNIVERSAL

Fig.1b (O-I)

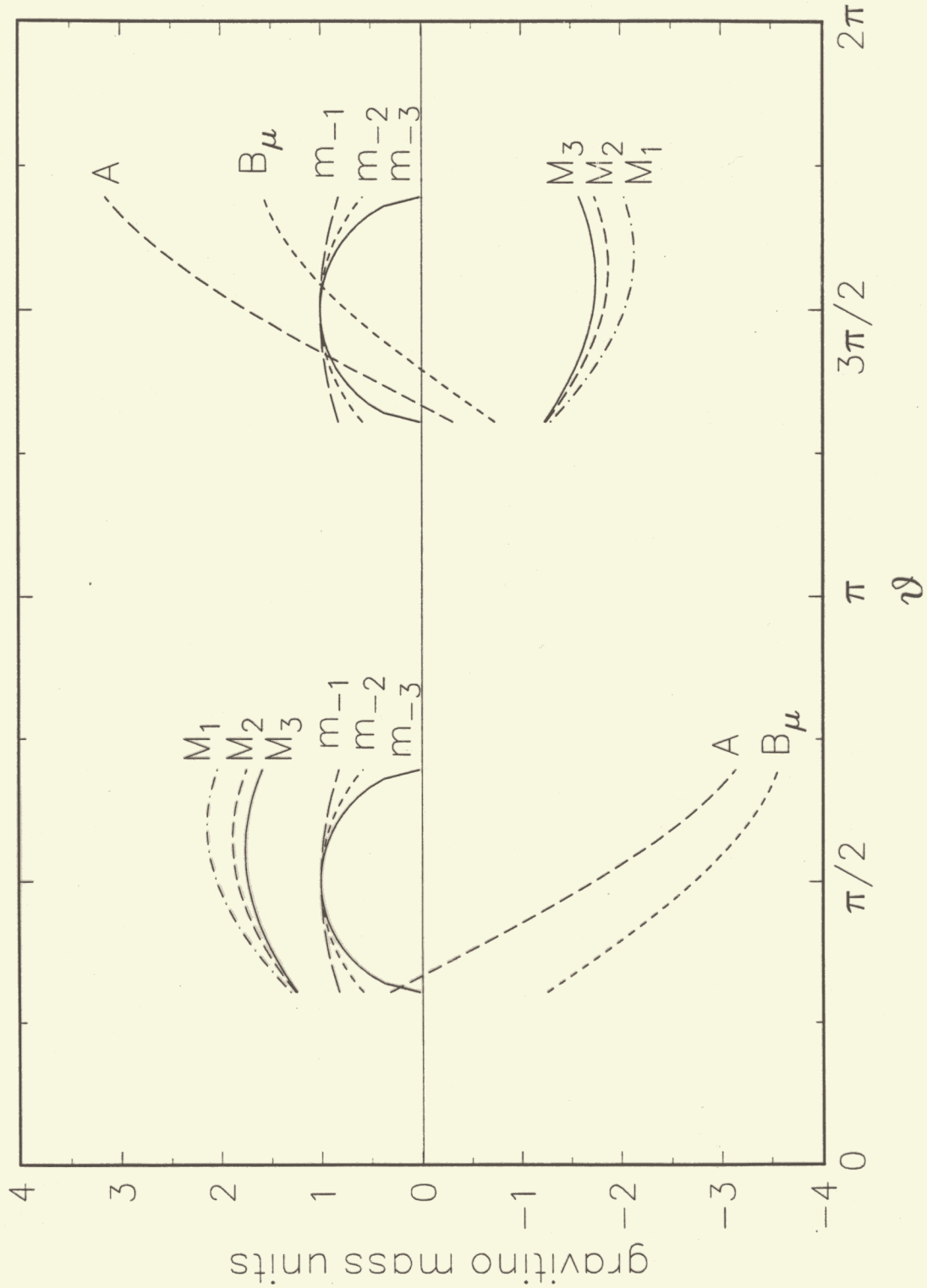
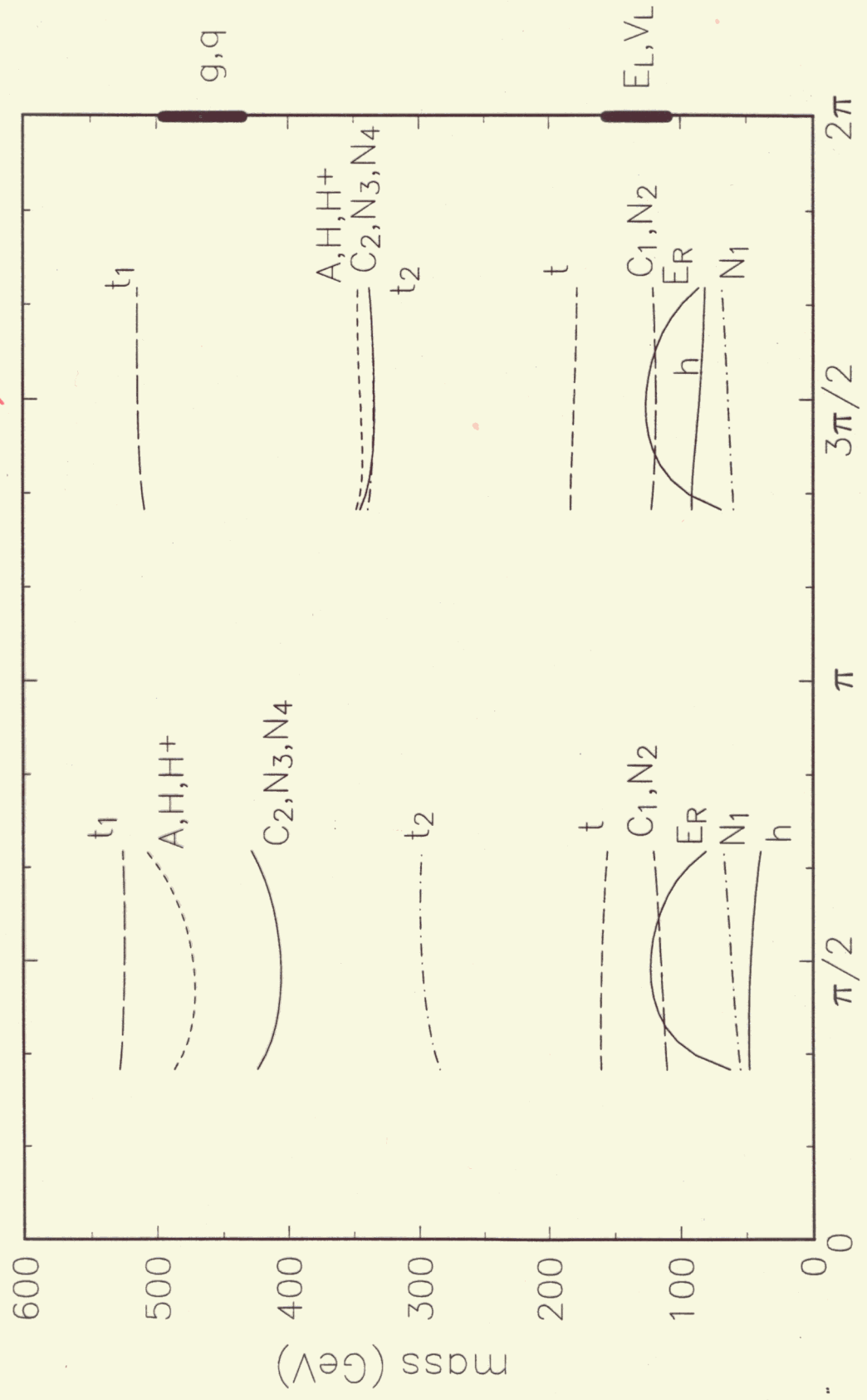


Fig. 3b (0-1)

B_{μ}

$n_i = -1, -2, -3$



$\sin^2 \theta \geq \frac{2}{3}$

SIMILAR TO THE CASE $n_i = -1$

TO TEST EXPERIMENTALLY SUPERSTRING THEORY IS VERY DIFFICULT

THE NATURAL SCALE
OF STRING MODELS IS

$$\mathcal{O}(10^{17-18} \text{ GeV})$$

THE "NATURAL" SCALE
OF PARTICLE ACCELERATORS IS

$$\mathcal{O}(10^3 \text{ GeV})$$

PERHAPS, A DETAILED ANALYSIS OF SUSY MASSES
(IF SUSY PARTICLES ARE DETECTED!) MIGHT BE
AN INDIRECT PROOF

Conference Summary

Steven Weinberg*

Theory Group
Department of Physics
University of Texas
Austin, Texas 78712

Talk presented at the XXVI International Conference on High Energy Physics, Dallas, Texas, August, 12, 1992.

- The correct theory underlying the standard model is probably a superstring theory. So far, our best proof consists of asking what else it could be. Superstring theories may be confirmed (and here I'm saying something that Peskin especially wanted me to say) by predictions for the coefficients of soft supersymmetry breaking terms in the supersymmetric standard model. In particular, it has been recently realized that in superstring theories it's typical that the lightest superparticles are gauginos rather than squarks or sleptons.

SUGRA BREAKING
THROUGH e.g. GAUGINO CONDENSATION

$$\langle T \rangle \sim R^2$$
$$\langle S \rangle \sim 1/g^2$$

$N=1$ $SU(3)_c \otimes SU(2)_L \otimes U(1)_Y$ + SOFT TERMS
 $m_{3/2} \sim 1 \text{ TeV}$

RADIATIVE BREAKING
OF $SU(2)_L \otimes U(1)_Y$
 $\langle H \rangle \neq 0$

$\sim 100 \text{ GeV}$

$SU(3)_c \otimes U(1)_{\text{em.}}$

$$\underbrace{\lambda_e \langle T \rangle \langle H \rangle e_L e_R}_{m_e}$$

Summarizing: to obtain a connection between (string) theory and present (standard-model) experiments is possible in principle but difficult in practice

What about **future experiments** (such as LHC) ?

If Nature is SUSY at the weak scale, as many string phenomenologists believe(d), eventually **the spectrum of SUSY particles** will be measured providing us with a possible connection with the high-energy world of superstrings

This is because in superstring constructions:

- There is a natural hidden sector built in, S, T_i
- $K(S, S^*, T_i, T_i^*), f(S, T_i)$ are known



The soft terms, $m_\alpha, M_\alpha, \dots$, can be computed and compared with the experimentally observed SUSY spectrum: **'SOFT' PHENOMENOLOGY**

This will not be sufficient to select the (superstring) standard model, but probably will allow us to discard many constructions

[In addition, if experimentalists find some extra particles, this may also help]